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July 20, 2016

Vincent Modafferi
President
Transport Workers Union Local 106
Transit Supervisors Organization
5768 Mosholu Ave
Bronx, NY 10471

RE: Request for Title Exemption from Financial Disclosure Filing Requirements under Section 73-a of the Public Officers Law – **Maintenance Supervisor (Surface) Level I (Title Code M215921)**

Dear Vincent Modafferi:

Public Officers Law §73-a requires certain individuals to file a Financial Disclosure Statement. Pursuant to Executive Law §94(9)(k), an application was submitted to the New York State Joint Commission on Public Ethics (the "Commission") requesting an exemption from this requirement for the above-referenced title.

The Commission has reviewed this application and, based on the information provided, determined the request for title exemption will be **granted**. Accordingly, employees serving in this title are **exempt** from filing a Financial Disclosure Statement.

Because the Commission has granted this title exemption request, individuals serving in this title are not required to submit a Financial Disclosure Statement in the future, as long as they continue to serve in the same title. Please note, however, even if the employee's title remains the same, they will be required to submit a Financial Disclosure Statement in subsequent years if: (1) their duties change to include duties which preclude an exemption[1]; (2) they are designated a policy-maker by their Appointing Authority; or (3) the Commission, upon review of its determination to grant this exception, decides the exemption is no longer appropriate under the Public Officers Law or Part 935 of the New York Code of Rules and Regulations.

[redacted]

NYS Joint Commission on Public Ethics

cc: MTA

[1] Any change in an employee's duties must be reported to the Commission.