

**APPLICATION REQUESTING AN EXEMPTION FROM  
FILING A FINANCIAL DISCLOSURE STATEMENT**

NYS Joint Commission on Public Ethics  
540 Broadway  
Albany, New York 12207  
(518) 474-4522

*PLEASE PRINT OR TYPE ALL ANSWERS*

<b>INDIVIDUAL EXEMPTION APPLICATION</b>			
<b>NAME:</b>			
<b>HOME ADDRESS:</b>			
<b>TELEPHONE NUMBER:</b>			
<b>E-MAIL ADDRESS:</b>			
<b>AGENCY:</b>			
<b>FACILITY:</b>			
<b>OFFICIAL TITLE:</b>			
<b>SALARY:</b>			
<b>REPRESENTATION:</b>	<input type="checkbox"/>	UNION (Please specify: _____)	
	<input type="checkbox"/>	NO REPRESENTATION	
	<input type="checkbox"/>	MANAGEMENT/CONFIDENTIAL	
Have you previously applied for an exemption for your current title and agency?	<input type="checkbox"/>	No	<input type="checkbox"/> Yes (Year _____)
If yes, was exemption granted?	<input type="checkbox"/>	No	<input type="checkbox"/> Yes
If exemption was previously denied, have you attached supporting documentation for any changed circumstances?	<input type="checkbox"/>	No*	<input type="checkbox"/> Yes
<b>Please check Yes or No for each of the following questions</b>			<b>YES</b>
Do your duties involve the negotiation, authorization, or approval of contracts, leases, franchises, revocable consents, concessions, variances, special permits, or licenses (as defined in Section 73 of the Public Officers Law)?			<input type="checkbox"/>
Do your duties involve the purchase, sale, rental or lease of real property, goods or services, or a contract therefor?			<input type="checkbox"/>
Do your duties involve the obtaining of grants of money or loans?			<input type="checkbox"/>
Do your duties involve the adoption or repeal of any rule or regulation having the force and effect of law?			<input type="checkbox"/>
A copy of your job description must be attached. Check yes to confirm your job description is attached.			<input type="checkbox"/>
A copy of this form with attachments must be filed with your agency. Check yes to confirm that you filed this with your agency.			<input type="checkbox"/>
STATE ANY ADDITIONAL FACTS WHICH SUPPORT THE CONCLUSION THAT YOUR JOB DOES NOT INVOLVE ANY OF THE DUTIES SET FORTH ABOVE.			
<b>SIGNATURE:</b>			<b>DATED:</b>

# INSTRUCTIONS

## General Instructions for State Employees (excludes Academic employees of SUNY and CUNY - please see page 2 of Instructions)

- Make sure your official job specification is attached. If unavailable, attach your most recent performance evaluation.
- Forward a copy of this form to your appointing authority.
- Note that effective April 1, 2016, the filing rate is \$91,821.
- An exemption request must be post-marked no later than May 16, 2016 for this Financial Disclosure Statement. Individuals commencing State service after May 16, 2016 or who receive a job title change or a salary increase which exceeds the filing rate, may apply for an exemption within 30 days of such event.
- You are not eligible to apply for an exemption if you have been designated a policy-maker by your appointing authority.
- If you have been previously denied an exemption while serving in your current job title/agency, you must include new supporting documentation of changed circumstances.

### You need not apply if:

- You previously received an exemption and are in the same title/agency;
- You are currently serving in an exempt title.

**\*\*You may also apply electronically by logging-in to your account at <https://apps.jcope.ny.gov>. Please be sure to upload your official agency job description or most recent performance evaluation.\*\***



THE CITY OF NEW YORK  
 DEPARTMENT OF CITYWIDE  
 ADMINISTRATIVE SERVICES  
 APPLICATION UNIT  
 1 CENTRE STREET, 14<sup>TH</sup> FLOOR  
 NEW YORK, NY 10007

**REQUIRED FORMS**

APPLICATION FORM

MICHAEL R. BLOOMBERG  
 Mayor

MARTHA K. HIRST  
 Commissioner

**NOTICE  
 OF  
 EXAMINATION**

**PROMOTION TO MAINTENANCE SUPERVISOR (SURFACE)  
 Exam. No. 8559  
 New York City Transit Authority**

**WHEN TO APPLY:** From: October 1, 2008      **APPLICATION FEE:** \$60.00  
 To: October 21, 2008      Payable by mail by money order to DCAS (EXAMS) or  
 payable online by credit card, bank card, or debit card.

**THE TEST DATE:** The multiple-choice test is expected to be held on **Saturday, January 10, 2009.**

**WHAT THE JOB INVOLVES:** At Assignment Level I: Maintenance Supervisors (Surface), under general supervision, supervise maintainers and helpers doing maintenance, installation, inspection, testing, alteration and repair of buses and other automotive vehicles and related bus shop equipment; perform inspection work and research on new equipment. They keep records and complete detailed written reports; evaluate productivity; forecast materials usage; instruct maintainers in repair procedures; use a computerized maintenance information system to record and monitor maintenance activities; perform safety assessments; resolve disputes; monitor attendance and employee work practices; operate buses for testing, evaluation or instructional purposes; and perform related work.

Some of the physical activities performed by Maintenance Supervisors (Surface) and environmental conditions experienced are: working outdoors in all kinds of weather; walking in bus garages; climbing and walking on fuel, oil and gasoline trucks; reaching into dark spaces and making tactile inspections; making visual inspections of equipment; distinguishing colors; reading gauges and prints; and hearing and responding to warnings (bell, whistle and vocal).

**Special Working Conditions:** Maintenance Supervisors (Surface) may be required to work rotating shifts including nights, Saturdays, Sundays and holidays.

(This is a brief description of what you might do in this position and does not include all the duties of this position.)

**THE SALARY:** The current minimum salary is \$61,359.00 per annum. This rate is subject to change. There are two assignment levels within this class of positions. Promotions will be made to Assignment Level I. After promotion, employees may be assigned to the higher assignment level at the discretion of the agency.

**HOW TO APPLY:** If you believe you are eligible to take this examination, there are two ways to apply for this examination:

1. **Online at the DCAS WEBSITE:** If you wish to apply online, go to the Online Application System (OASys) at [www.nyc.gov/examsforjobs](http://www.nyc.gov/examsforjobs) and follow the onscreen application instructions for electronically submitting your application and completing the required form. The following methods of payment are acceptable: major credit card, bank card associated with a bank account, or prepaid debit card which you may purchase online or at various retail outlets.
2. **By mail:** Refer to the "Required Form" section below for the form that you must fill out. Return the completed form and the application fee to DCAS Application Unit, 1 Centre Street, 14<sup>th</sup> floor, New York, NY 10007.

DCAS will not accept applications in person from candidates.

**ELIGIBILITY TO TAKE EXAMINATION:** This examination is open to each employee of the New York City Transit Authority who on the date of the multiple-choice test:

is permanently (not provisionally) employed in, or appears on a Preferred List (see Note, below) for the title  
 of - Cof Bus Maintainer - Group A or Bus Maintainer - Group B; or

**MAINTENANCE SUPERVISOR (DEPARTMENT OF BUSES)**

**Rule 16.07**

**DUTIES AND RESPONSIBILITIES:**

This class of positions in the Department of Buses Maintenance Department encompasses supervisory work of varying degrees of difficulty involving the inspection, maintenance and repair of buses or other automotive vehicles. The following are typical assignments within this class of positions. All personnel perform related work and such other duties as the Authority is authorized by law to prescribe in its regulations.

**TYPICAL ASSIGNMENTS:**

Is in immediate charge of assigned maintainers and helpers engaged in the maintenance, installation, inspection, testing, alteration, and repair of buses or other automotive vehicles, and associated bus shops, including: electrical, mechanical, hydraulic and pneumatic equipment of buses, bodies, engines, transmissions and mechanical shop equipment.

- If assigned, performs inspection work on new equipment and material at manufacturing plants and/or other sites.
- Compiles, analyzes, and keeps records.
- Conducts investigations and prepares reports.



**Transport Workers Union – Local 106**  
*Transit Supervisors Organization*

5768 Mosholu Avenue, Bronx, NY 10471  
 Telephone (718) 601-7100 – Fax (718) 601-6300



**General Officers**

**Vincent Modafferi**  
 President  
 Executive Council

**Philip Valenti**  
 Vice President  
 Chairperson  
 Queens Division  
 Executive Council

**Hubert Reardon**  
 Secretary-Treasurer  
 Executive Council

**Michael Lawson**  
 Recording Secretary  
 Executive Council

**Executive Council**

**Joseph Betancourt**  
 Chairperson  
 MS II

**Dennis Bottomley**  
 Chairperson  
 OA Maintenance

**Patrick Brennan**  
 Vice Chairperson  
 MTA Bus Division

**Thomas Burke**  
 Chairperson  
 OA Transportation

**Raymond Diggs**  
 Chairperson  
 Station Supervisors II

**Robert Elznic**  
 Chairperson  
 MTA Bus Division

**Basil Jones**  
 OA Transportation

**Hammond Lewis**  
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 Vice Chairperson  
 OA Transportation

**Todd Napoletano**  
 Vice Chairperson  
 Queens Division

**Demetrios Pothos**  
 Recording Secretary  
 MS II

**Richard Raiano**  
 Vice Chairperson  
 OA Maintenance

**Marcia Reyes**  
 Recording Secretary  
 OA Transportation

**Anthony Wiggins**  
 Recording Secretary  
 MTA Bus Division

**Delroy Wilson**  
 Vice Chairperson  
 MS II

August 19, 2016

NYS Joint Commission of Public Ethics  
 540 Broadway  
 Albany, NY 12207

Re: Exemption from Filing a Financial Disclosure Statement

To whom it may concern:

As the bargaining representative for various Maintenance Supervisor (Surface) title, I confirm that, except for the salary threshold, the job duties associated with the enclosed exemption applications do not perform any of the activities enumerated in Executive Law §94(9)(k).

Thank you in advance for your consideration in this request.

Sincerely,

Vincent Modafferi  
 President

**MAILED**

8-19-16

USP

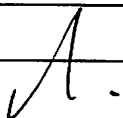


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**AGENCY/UNION EXEMPTION APPLICATION**

<b>NAME OF ORGANIZATION OR STATE AGENCY REQUESTING EXEMPTION:</b>				Transport Worker Union Local 106 – Transit Supervisors Organization			
<b>NAME OF INDIVIDUAL AUTHORIZED TO FILE REQUEST:</b>				Vincent Modafferi			
<b>TITLE:</b>				President			
<b>TELEPHONE NUMBER:</b>				718 601-5700			
<b>STREET:</b>				5768 Mosholu Avenue			
<b>CITY/STATE/ZIP:</b>				Bronx, NY 10471			
<b>TITLE, TITLE CODE AND ANNUAL COMPENSATION OF THE POSITION FOR WHICH AN EXEMPTION IS REQUESTED:</b>				<b>TITLE:</b>		Maintenance Supervisor (Surface)	
				<b>TITLE CODE:</b>		589	
				<b>ANNUAL COMPENSATION:</b>		\$73,534 - \$92,782	
Previous exemption request denied:				<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	Yes (Year )
Agency: MTA – New York City Transit Authority							
Please check <i>Yes</i> or <i>No</i> for each of the following questions						YES	NO
Do the duties of the title involve the negotiation, authorization, or approval of contracts, leases, franchises, revocable consents, concessions, variances, special permits, or licenses (as defined in Section 73 of the Public Officers Law)?							X
Do the duties of the title involve the purchase, sale, rental or lease of real property, goods or services, or a contract therefor?							X
Do the duties of the title involve the obtaining of grants of money or loans?							X
Do the duties of the title involve the adoption or repeal of any rule or regulation having the force and effect of law?							X
A copy of this form and attachments must be filed with the appointing authority of the State agency or agencies where the title is located. Check yes to confirm this form has been filed with the State agency or agencies.						X	
A copy of the title description must be attached. Check yes to confirm the title description is attached.						X	
STATE ANY ADDITIONAL FACTS WHICH SUPPORT THE CONCLUSION THAT THE DUTIES OF THE TITLE DO NOT INVOLVE ANY OF THE DUTIES SET FORTH ABOVE.							
<b>This title does not perform any duties that they would not otherwise be exempt from filing under §94(9)(k).</b>							
SIGNATURE: 						DATED: 8-19-16	
ON BEHALF OF:							